

## Exhibit 300: Capital Asset Summary

### Part I: Summary Information And Justification (All Capital Assets)

#### Section A: Overview & Summary Information

**Date Investment First Submitted:** 2009-06-30  
**Date of Last Change to Activities:** 2012-08-31  
**Investment Auto Submission Date:** 2012-02-29  
**Date of Last Investment Detail Update:** 2012-07-31  
**Date of Last Exhibit 300A Update:** 2012-07-31  
**Date of Last Revision:** 2012-08-31

**Agency:** 014 - Department of State      **Bureau:** 00 - Agency-Wide Activity

**Investment Part Code:** 01

**Investment Category:** 00 - Agency Investments

**1. Name of this Investment:** Joint Financial Management System (JFMS)

**2. Unique Investment Identifier (Ull):** 014-000000018

#### Section B: Investment Detail

- 1. Provide a brief summary of the investment, including a brief description of the related benefit to the mission delivery and management support areas, and the primary beneficiary(ies) of the investment. Include an explanation of any dependencies between this investment and other investments.**

JFMS is a multi-venture investment to establish and operate (1) a single Global Financial Management System (GFMS) for the Dept of State (DOS), and (2) a common platform for DOS and USAID financial systems. It is critical to DOS day-to-day operations, supporting execution of DOS' mission by effectively accounting for business activities and recording associated financial information, including obligations and costs, performance, financial assets, and other data. GFMS - A series of major, incremental projects to address profound financial system weaknesses and establish a single global financial management system to integrate DOS overseas and domestic financial operations onto a common financial management software platform. GFMS provides a single integrated view of financial data through data standardization, common business processes, and the seamless exchange of information through DOS financial sectors. Such integration improves operations and reduces costs by eliminating system redundancies and replacing obsolete and unsupported financial systems. It also provides the infrastructure for integrating with other activities within DOS. The GFMS project is in the Operations & Maintenance (O&M) lifecycle phase, operating 24X7 in a highly complex international multi-currency environment. It supports the entire Department and over 45 other USG entities operating overseas. There are over 4,000 users throughout the world, including financial staff processing accounting and disbursing transactions, accountants, financial analysts, contract officers and acquisitions personnel and senior

executives responsible for managing financial, acquisition, and program operations and financial reporting. Project objectives are focused on maintaining steady state/operations and maintenance; improving functionality, performance, integration, and reporting; responding to audit findings and recommendations; and accommodating legislative and regulatory changes. Common Platform - A series of incremental projects to improve operations and save money through collaboration to operate DOS and USAID financial systems on a common platform, including shared telecommunications and server infrastructures; a COTS based software package for financial management (with separate databases); consolidated software licensing, software maintenance fees, integration support services, and hardware costs; consolidate financial system computer operations and COOP facilities.

**2. How does this investment close in part or in whole any identified performance gap in support of the mission delivery and management support areas? Include an assessment of the program impact if this investment isn't fully funded.**

Before JFMS, State accounted for its resources through multiple outdated and disjointed legacy financial systems. Some overseas posts conducted operations using little more than a collection of Excel spreadsheets. It was common to take up to 45 days for the results of an overseas financial event to reach State's central financial system. JFMS provides a standard system solution and greater integration, enabling the elimination of disparate, antiquated systems. With the JFMS platform, State was able to establish the Post Support Unit (PSU) in Charleston, SC and Bangkok to remove the burden of repetitive, standardized financial processing from the posts and offices and perform those tasks centrally at a substantially lower cost with improved accounting (in 2010, the avg cost per accounting entry for an ICASS standard Post was \$32.48; the PSU was able to perform the same service at \$11 per entry). The PSU now services more than 66 posts worldwide. These centralization and service initiatives allow at-post, front-line financial management personnel to focus on higher value-added and location-specific financial management activities. In 2010, State fully centralized all permanent change-of-station vouchering from posts to PSU. Future plans are to pilot and centralize additional vouchers/payments types. The integration of acquisitions into the core financial systems, coupled with the adoption of line level payments provides a higher standard of accountability, internal control and payment integrity, which is critical to detecting and preventing improper payments should contractors submit improper billings or have faulty billing systems. In FY2008, the additional line level detail allowed International Narcotics and Law Enforcement Affairs (INL) to identify and correct over \$13 million in inaccurate billings and recover another \$24 million through post payment audits of prior year invoices. The current COTS Update will provide further gains through improved payments processes; improved system data entry processes, performance and response time, reduced interactive processing time and associated costs; increased user satisfaction and efficiencies through an improved user interface; and business process improvements enabled by new COTS functionality.

**3. Provide a list of this investment's accomplishments in the prior year (PY), including projects or useful components/project segments completed, new functionality added, or operational efficiency achieved.**

1 Accounts Receivable Stabilization. 2 Phase 2 of Integration Improvements - automated obligation of RFMS overseas funds in GFMS contract awards, integrate common vendors used for contract awards between GFMS and RFMS, and implement systems assurance for

integrated RFMS and GFMS transactions. 3 COTS Update: - Phase 2 of Automated Testing. - Migration of COTS reports to the GFMS DW. - Installation of the new COTS software for GFMS configuration. - GFMS configuration of the COTS software. 4 Business Intelligence / Data Warehouse Updates: - Update to SAP Business Objects XI 3 platform. - Data Warehouse reporting improvements, including management dash board reporting. 5 GFMS / RFMS software releases addressing agreed upon high priority perfective enhancements. 6 Planning & Budget: - Assume System Ownership of CRMS BRMS. - Create common planning & budget conceptual vision. - WebRABIT perfective/adaptive enhancements.

**4. Provide a list of planned accomplishments for current year (CY) and budget year (BY).**

Current year (FY2013) plans: - COTS Update: Complete GFMS configuration of the COTS software. - COTS Update: Independent Verification & Validation (IV&V) of the COTS update as configured for GFMS. - COTS Update: Deployment of GFMS on the updated COTS platform. - COTS Update: Completing the analysis and planning stages of COTS update for RFMS. - COTS Update: Installation of the new COTS platform for RFMS configuration. - COTS Update: Stabilize GFMS operations on updated COTS platform. - Business Intelligence / Data Warehouse Updates: Reporting improvements and expansion of dashboards, data visualization. - RFMS software releases addressing agreed upon high priority perfective enhancements. Budget year (FY2014) plans include - to be completed.

**5. Provide the date of the Charter establishing the required Integrated Program Team (IPT) for this investment. An IPT must always include, but is not limited to: a qualified fully-dedicated IT program manager, a contract specialist, an information technology specialist, a security specialist and a business process owner before OMB will approve this program investment budget. IT Program Manager, Business Process Owner and Contract Specialist must be Government Employees.**

2010-11-09

## Section C: Summary of Funding (Budget Authority for Capital Assets)

1.

Table I.C.1 Summary of Funding

	PY-1 & Prior	PY 2011	CY 2012	BY 2013
Planning Costs:	\$0.0	\$0.0	\$0.0	\$0.0
DME (Excluding Planning) Costs:	\$49.3	\$7.6	\$6.1	\$3.3
DME (Including Planning) Govt. FTEs:	\$0.0	\$0.0	\$0.0	\$0.0
Sub-Total DME (Including Govt. FTE):	\$49.3	\$7.6	\$6.1	\$3.3
O & M Costs:	\$84.5	\$33.8	\$34.0	\$33.9
O & M Govt. FTEs:	\$3.2	\$1.6	\$1.6	\$1.6
Sub-Total O & M Costs (Including Govt. FTE):	\$87.7	\$35.4	\$35.6	\$35.5
Total Cost (Including Govt. FTE):	\$137.0	\$43.0	\$41.7	\$38.8
Total Govt. FTE costs:	\$3.2	\$1.6	\$1.6	\$1.6
# of FTE rep by costs:	30	13	13	13
Total change from prior year final President's Budget (\$)		\$11.1	\$6.9	
Total change from prior year final President's Budget (%)		34.70%	19.90%	

**2. If the funding levels have changed from the FY 2012 President's Budget request for PY or CY, briefly explain those changes:**

funding levels have not changed

## Section D: Acquisition/Contract Strategy (All Capital Assets)

Table I.D.1 Contracts and Acquisition Strategy

Contract Type	EVM Required	Contracting Agency ID	Procurement Instrument Identifier (PIID)	Indefinite Delivery Vehicle (IDV) Reference ID	IDV Agency ID	Solicitation ID	Ultimate Contract Value (\$M)	Type	PBSA ?	Effective Date	Actual or Expected End Date
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NONE

**2. If earned value is not required or will not be a contract requirement for any of the contracts or task orders above, explain why:**

Earned value clauses have been included in all of the professional service contracts for the project.

## Exhibit 300B: Performance Measurement Report

### Section A: General Information

**Date of Last Change to Activities:** 2012-08-31

### Section B: Project Execution Data

**Table II.B.1 Projects**

Project ID	Project Name	Project Description	Project Start Date	Project Completion Date	Project Lifecycle Cost (\$M)
27	DOS Segment 1 - Incremental Financial System Improvements	Accounts Receivable migration to the Global Financial Management System (GFMS), Virtual Merge Phase 1 to implement integration improvements between GFMS and the Regional Financial Management System (RFMS); and GFMS data warehouse enhancements; and software releases addressing high priority adaptive enhancements..			
28	DOS Segment 2 - Incremental Financial System Improvements	Virtual Merge Phase 2 to automate obligation of Regional Financial Management System (RFMS) overseas funds in Global Financial Management System (GFMS) contract awards, integrate common vendors used for contract awards between GFMS and RFMS, and implement systems assurance for integrated RFMS and GFMS transactions; GFMS data warehouse enhancements; and software releases addressing high priority adaptive			

Table II.B.1 Projects

Project ID	Project Name	Project Description	Project Start Date	Project Completion Date	Project Lifecycle Cost (\$M)
		enhancements.			
29	DOS Segment 3 - Planning & Budgeting Systems	Define conceptual vision for common planning & budgeting platform and document acquisition strategy; deliver WebRABIT software release addressing high priority enhancements.			

Activity Summary

Roll-up of Information Provided in Lowest Level Child Activities

Project ID	Name	Total Cost of Project Activities (\$M)	End Point Schedule Variance (in days)	End Point Schedule Variance (%)	Cost Variance (\$M )	Cost Variance (%)	Total Planned Cost (\$M)	Count of Activities
27	DOS Segment 1 - Incremental Financial System Improvements							
28	DOS Segment 2 - Incremental Financial System Improvements							
29	DOS Segment 3 - Planning & Budgeting Systems							

Key Deliverables

Project Name	Activity Name	Description	Planned Completion Date	Projected Completion Date	Actual Completion Date	Duration (in days)	Schedule Variance (in days )	Schedule Variance (%)
29	FY2011	Assume System Ownership of WebRABIT and ICASS and integrate the two systems into DCFO/GFMS Global Financial Architecture Framework (GFAF) for system development and	2011-09-30	2011-09-30	2011-09-30	364	0	0.00%



Key Deliverables								
Project Name	Activity Name	Description	Planned Completion Date	Projected Completion Date	Actual Completion Date	Duration (in days)	Schedule Variance (in days )	Schedule Variance (%)
		maintenance						
29	Project Test	Test approved WebRABIT Change Control Requests (CCR's)	2012-09-30	2012-09-30		365	0	0.00%
29	Documentation	Document WebRABIT Change Control Requests (CCR's)	2012-09-30	2011-10-01	2011-10-01	365	365	100.00%
29	Infrastructure Hardware/Software	Hardware and software for planning and Budget Activities	2012-09-30	2012-09-30		365	0	0.00%
29	Project Development	Approved WebRABIT Change Control Requests (CCR's)	2012-09-30	2012-09-30		365	0	0.00%
29	FY2012	Assume System Ownership of BRMS/CRMS and integrate the system into DCFO/GFMS Global Financial Architecture Framework (GFAF) for system development and maintenance	2012-09-30	2012-12-31		365	-92	-25.21%
29	Project Design	Develop a Conceptual Vision for a common planning and budgeting platform, Roadmap for achieving, and Acquisition Strategy. Design Proof of Concept.	2012-09-30	2011-10-01	2011-10-01	365	365	100.00%
29	End User Training	Training for WebRABIT Change Control Requests (CCR's)	2012-09-30	2011-10-01	2011-10-01	365	365	100.00%

## Section C: Operational Data

Table II.C.1 Performance Metrics

Metric Description	Unit of Measure	FEA Performance Measurement Category Mapping	Measurement Condition	Baseline	Target for PY	Actual for PY	Target for CY	Reporting Frequency
Overall satisfaction rate of overseas systems users	percent	Customer Results - Customer Benefit	Over target	80.000000	80.000000	88.000000	80.000000	Semi-Annual
Overseas Payments - Percent of Total Dollars Paid Using EFT	percent	Mission and Business Results - Management of Government Resources	Over target	87.000000	87.000000	95.100000	87.000000	Monthly
System availability or "uptime", as measured in percent of planned available hrs	percent	Technology - Reliability and Availability	Over target	99.000000	98.000000	100.000000	98.000000	Monthly
Initial Transaction Rejects between Overseas and Domestic databases	percent	Process and Activities - Productivity	Under target	5.000000	5.000000	1.410000	2.500000	Monthly
Interest Payments as a Percent of Total Eligible Payments for Prompt Pay	percent	Process and Activities - Financial	Under target	0.020000	0.020000	0.001000	0.020000	Monthly